

FILE GOPY

I MINA'TRENTAI TRES NA LIHESLATURAN GUÅHAN THIRTY-THIRD GUAM LEGISLATURE 155 Hesler Place, Hagåtña, Guam 96910

June 1, 2015

The Honorable Edward J.B. Calvo I Maga'lahen Guåhan Ufisinan I Maga'lahi Hagåtña, Guam

Dear Maga'lahi Calvo:

Transmitted herewith are Bill and Substitute Bill Nos. 9-33(COR), 21-33(COR), 25-33(COR), 31-33(COR), 44-33(COR), 48-33(COR), 50-33(COR), 55-33(COR), 61-33(COR), 64-33(COR), 65-33(COR), 69-33(COR), 70-33(COR), 73-33(COR), 77-33(COR), 79-33(COR), 81-33(COR), 82-33(COR), 83-33(COR), 90-33(LS), 92-33(LS), 107-33(LS), 108-33(LS), 109-33(LS) and 110-33(LS) which were passed by *I Mina Trentai Tres Na Liheslaturan Guåhan* on May 29, 2015.

TINA ROSE MUÑA BARNE Legislative Secretary JUN

Enclosure (25)

I MINA 'TRENTAI TRES NA LIHESLATURAN GUÅHAN 2015 (FIRST) Regular Session

CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUÅHAN

This is to certify that Bill No. 81-33 (COR), "AN ACT TO AMEND SUBSECTION (C) OF § 4405 AND TO ADD A NEW § 4406.2, BOTH OF ARTICLE 4, CHAPTER 4, TITLE 4, GUAM CODE ANNOTATED, RELATIVE TO MANDATING THE USE OF AN ADMINISTRATIVE LAW JUDGE UNDER THE CIVIL SERVICE COMMISSION, TO CLARIFYING CERTAIN FUNCTIONS, AND TO ESTABLISHING TIME STANDARDS AND CASE MANAGEMENT PRACTICES," was on the 29th day of May 2015, duly and regularly passed.

Judith T. Won Pat, Ed.D. **Speaker**

Tina Rose Muña Barnes Legislative Secretary

day of June, This Act was received by I Maga'lahen Guåhan this ____ 2015, at $\underline{(2015)}$ o'clock \underline{P} .M.

Assistant Staff Officer Maga'lahi's Office

APPROVED:

EDWARD J.B. CALVO I Maga'lahen Guåhan

Date:

Public Law No.

I MINA'TRENTAI TRES NA LIHESLATURAN GUÅHAN 2015 (FIRST) Regular Session

Bill No. 81-33 (COR)

As amended by the Committee on Appropriations and Adjudication.

Introduced by:

Mary Camacho Torres B. J.F. Cruz <u>R. J. Respicio</u> T. C. Ada V. Anthony Ada Frank F. Blas, Jr. FRANK B. AGUON, JR. James V. Espaldon Brant T. McCreadie Tommy Morrison T. R. Muña Barnes Dennis G. Rodriguez, Jr. Michael F.Q. San Nicolas N. B. Underwood, Ph.D. Judith T. Won Pat, Ed.D.

AN ACT TO AMEND SUBSECTION (C) OF § 4405 AND TO ADD A NEW § 4406.2, BOTH OF ARTICLE 4, CHAPTER 4, TITLE 4, GUAM CODE ANNOTATED, RELATIVE TO MANDATING THE USE OF AN ADMINISTRATIVE LAW JUDGE UNDER THE CIVIL SERVICE COMMISSION, TO CLARIFYING CERTAIN FUNCTIONS, AND TO ESTABLISHING TIME STANDARDS AND CASE MANAGEMENT PRACTICES.

BE IT ENACTED BY THE PEOPLE OF GUAM:

2 Section 1. Legislative Findings and Intent. *I Liheslaturan Guåhan* finds

3 that there is a serious need to provide a solution to the extensive backlog of cases

before the Civil Service Commission (CSC, Commission). According to the CSC 1 2 calendar, cases are backed up for many years and the caseload of the CSC 3 continues to be voluminous. This legislation mandates that the Civil Service Commission hire an attorney to serve as an Administrative Law Judge (ALJ) to 4 hear arguments and make decisions on matters of law or procedure that usually 5 occur prior to hearing a case on the merits or facts. Currently, Commissioners who 6 7 must preside over the course of an administrative trial with lawyers engaging in 8 sometimes intense trial maneuvers are placed under an arduous, and sometimes unfair, burden. In addition, because most Commissioners have full-time jobs 9 elsewhere, hearings are required to be held during limited evening hours. This 10 11 limitation on when hearings can take place is one factor in the growing caseload of 12 the Commission.

13 Therefore, I Liheslaturan Guåhan finds that it is in the best interest of the 14 employees of the government of Guam, the CSC, the government agencies and the appeals process to alleviate the pressure placed on the CSC by mandating the use 15 16 of an Administrative Law Judge (ALJ) to conduct the hearings and administrative 17 trials. An ALJ would expedite the disposition of all adverse action caseloads. 18 Hearings and trials would be set during the course of working hours, with the full 19 support of the CSC's staff being present. Parties to the action and their counsel 20 would also be more readily available during normal working hours. Parties would 21 be allowed sufficient and ample time within the course of regular working hours to 22 present full and complete cases. Continuances could be greatly reduced, and cases 23 would be set more frequently for final disposition. Trial advocacy would be under 24 the control of the ALJ, subject to all appropriate rulings and sanctions as deemed 25 necessary for the proper conduct of the hearing. Any decision of the ALJ would be subject to review and approval of the CSC, after which such decision would 26 become final, subject only to appeal before the Superior Court of Guam. 27

- 1 It is, therefore, the intent of *I Liheslaturan Guåhan* to mandate the use of an Administrative Law Judge at the Civil Service Commission. 2 3 Subsection (c) of § 4405, Article 4, Chapter 4, Title 4, Guam Section 2. Code Annotated, is hereby amended to read: 4 5 "(c) The Commission shall employ a full-time or part-time Administrative Law Judge (ALJ), to assist the Commission with the 6 7 adjudicatory responsibilities, or the Commission may retain a private attorney or attorneys to serve as an ALJ on a case-by-case basis. The 8 9 ALJ shall have the authority to handle the following matters: hearings related to technical and procedural motions, preliminary evidentiary 10 motions, and any other matters deemed appropriate by the 11 Commission, including full merit hearings. All decisions by the ALJ 12 13 *shall* be forwarded to the Commission, which will have the authority
- 14 to accept, modify, or reject the ALJ's decision in whole or in part. The 15 Commission will review only those parts of the record before the ALJ 16 which the Commission deems necessary to decide whether to accept, modify, or reject the ALJ's decision. The ALJ shall follow applicable 17 rules and procedures approved by the Commission, and the 18 19 Commission may direct the ALJ to act in the Commission's place and 20 assume all authority and responsibilities prescribed by such rules and 21 regulations, *except* as limited by this Act. An ALJ must be an active member of the Guam Bar Association in good standing and a licensed 22 attorney for at least five (5) years." 23
- Section 3. A new § 4406.2 is hereby *added* to Article 4, Chapter 4, Title 4,
 Guam Code Annotated, to read:
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"§ 4406.2. Time Standards and Case Management.

In order to assist in case management and promote timeliness in the resolution of matters before the Commission, the Legislature establishes the following Time Standards which the Legislature deems to be reasonably achievable standards that the Commission shall aspire to attain in all cases filed with the Commission after December 31, 2015. appeals from actions resulting in the (a) For

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termination of an employee, a Hearing on the Merits shall commence no later than four (4) months from the initial filing of the appeal.

For all other appeals from adverse actions, a (b)Hearing on the Merits shall commence no later than six (6) months from the initial filing of the appeal.

For grievances filed before the Commission, a 14 (c)15 Grievance Hearing shall be held no later than four (4) months from the initial filing of the grievance. 16

17 (d)All matters *shall* be adjudicated within (12) twelve months from the filing of a notice of appeal unless the time 18 19 standards are waived by the employee. The CSC 20Commissioners may also waive the time standards for substantial and compelling reasons by either party.

Failure on the part of the Commission to adhere to 22 (e) 23 the time standards described in Subsections (a), (b) and (c) shall 24 in no way result in the dismissal of the employee's right to 25 appeal their adverse action.

26 The time standards described in Subsections (a), (f)27 (b) and (c) may be waived by the employee.

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1(g) The time standards described in Subsections (a),2(b) and (c) may be waived by the Commissioners upon finding3substantial and compelling reasons to determine that a waiver4of the time standards is the only option available, and only upon5mutual consent of the parties.

6 (h) Following all oral decisions by Commissioners, 7 the prevailing party *shall* present their proposed written 8 decision for Commissioners to sign *no later than* ten (10) 9 working days from the date of the hearing in which the oral 10 decision was rendered.

11(i) All written decisions following any and all12hearings shall be signed and ordered within sixty (60) days of13the date of the hearing."

14 Section 4. Severability. If any provision of this Act or its application to 15 any person or circumstance is found to be invalid or contrary to law, such 16 invalidity *shall not* affect other provisions or applications of this Act that can be 17 given effect without the invalid provisions or application, and to this end the 18 provisions of this Act are severable.